

**Cancer Prevention and Research Institute of Texas**  
**Quarterly Financial Report**  
As of November 30, 2016

**Indirect Administration (B.1.1.)**

|  | 2017<br>Appropriated | 2017 Budgeted       | % of Total<br>Budget | Actual Expenditures &<br>Grant Encumbrances<br>(FYTD) | Remaining<br>Budget | Percent<br>Expended | Estimated<br>Expenditures<br>(YTD) | Lapse/Overspent     |
|--|----------------------|---------------------|----------------------|---|---------------------|---------------------|------------------------------------|---------------------|
| 1001 Salaries and Wages                            | \$ 1,432,617         | \$ 1,417,617        |                      | \$ 305,915  | 1,111,702           | 22%                 | \$ 305,915                         | \$ 1,111,702        |
| 1002 Other Personnel Costs                         | 52,785               | 67,785              |                      | 25,823  | 41,962              | 38%                 | 25,823                             | 41,962              |
| 2001 Professional Fees and Services                | 807,317              | 807,317             |                      | 158,554   | 648,763             | 20%                 | 158,554                            | 648,763             |
| 2003 Consumable Supplies                           | 27,584               | 27,584              |                      | 3,747   | 23,837              | 14%                 | 3,747                              | 23,837              |
| 2004 Utilities                                     | 58,577               | 58,577              |                      | 536   | 58,041              | 1%                  | 536                                | 58,041              |
| 2005 Travel  | 45,000               | 45,000              |                      | 8,292   | 36,708              | 18%                 | 8,292                              | 36,708              |
| 2006 Rent-Building                                 | -                    | 18,408              |                      | 18,408  | 0                   | 0%                  | 18,408                             | 0                   |
| 2007 Rent-Machine and Other                        | 32,172               | 32,172              |                      | 4,568   | 27,604              | 14%                 | 4,568                              | 27,604              |
| 2009 Other Operating Expenses                      | 574,600              | 556,192             |                      | 102,031   | 454,161             | 18%                 | 102,031                            | 454,161             |
| <b>Subtotal - Indirect Administration (B.1.1.)</b> | <b>\$ 3,030,652</b>  | <b>\$ 3,030,652</b> | <b>1.02%</b>         | <b>\$ 627,874</b>                                     | <b>\$ 2,402,778</b> | <b>21%</b>          | <b>\$ 627,874</b>                  | <b>\$ 2,402,778</b> |

**Grant Review and Award Operations (A.1.3.)**

|   | 2017<br>Appropriated | 2017 Budgeted        | % of Total<br>Budget | Actual Expenditures &<br>Grant Encumbrances<br>(FYTD) | Remaining<br>Budget | Percent<br>Expended | Estimated<br>Expenditures<br>(YTD) | Lapse/Overspent     |
|---|----------------------|----------------------|----------------------|---|---------------------|---------------------|------------------------------------|---------------------|
| 1001 Salaries and Wages                     | \$ 2,730,580         | 2,681,233            |                      | \$ 750,198  | \$ 1,931,035        | 28%                 | \$ 750,198                         | \$ 1,931,035        |
| 1002 Other Personnel Costs                  | 3,856                | 53,203               |                      | 58,285  | (5,083)             | 0%                  | 58,285                             | (5,083)             |
| 2001 Professional Fees and Services         | 10,809,493           | 10,809,493           |                      | 8,272,659   | 2,536,834           | 77%                 | 8,272,659                          | 2,536,834           |
| 2003 Consumable Supplies                    | -                    | -                    |                      | -   | -                   | 0%                  | -                                  | -                   |
| 2004 Utilities                              |                      | 10,000               |                      | 824   | 9,176               | 8%                  | 824                                | 9,176               |
| 2005 Travel                                 | 65,000               | 65,000               |                      | 15,501  | 49,499              | 24%                 | 15,501                             | 49,499              |
| 2009 Other Operating Expenses               | 201,297              | 191,297              |                      | 37,909  | 153,388             | 20%                 | 37,909                             | 153,388             |
| Conference                                  |                      | -                    |                      | -   | -                   | #DIV/0!             | -                                  | -                   |
| <b>Subtotal - Grant Operations (A.1.3.)</b> | <b>\$ 13,810,226</b> | <b>\$ 13,810,226</b> | <b>4.65%</b>         | <b>\$ 9,135,377</b>                                   | <b>\$ 4,674,849</b> | <b>66%</b>          | <b>\$ 9,135,377</b>                | <b>\$ 4,674,849</b> |

**Grants**

|                                  | 2017<br>Appropriated  | 2017 Budgeted         | % of Total<br>Budget | Actual Expenditures &<br>Grant Encumbrances<br>(FYTD) | Remaining<br>Budget   | Percent<br>Expended | Estimated<br>Expenditures<br>(YTD) | Lapse/Overspent       |
|----------------------------------|-----------------------|-----------------------|----------------------|---|-----------------------|---------------------|------------------------------------|-----------------------|
| 4000 Grants - Prevention (A.1.2) | \$ 28,334,312         | \$ 28,334,312         |                      | \$ -  | \$ 28,334,312         | 0%                  | \$ -                               | \$ 28,334,312         |
| 4000 Grants - Research (A.1.1.)  | 251,780,562           | \$ 251,780,562        |                      | -   | \$ 251,780,562        | 0%                  | -                                  | 251,780,562           |
| <b>Subtotal - Grants</b>         | <b>\$ 280,114,874</b> | <b>\$ 280,114,874</b> | <b>94.33%</b>        | <b>\$ -</b>   | <b>\$ 280,114,874</b> | <b>0%</b>           | <b>\$ -</b>                        | <b>\$ 280,114,874</b> |
| <b>Grand Totals</b>              | <b>\$ 296,955,752</b> | <b>\$ 296,955,752</b> | <b>100.00%</b>       | <b>\$ 9,763,250</b>                                   | <b>\$ 287,192,502</b> | <b>3%</b>           | <b>\$ 9,763,250</b>                | <b>\$ 287,192,502</b> |

**Cancer Prevention and Research Institute of Texas  
Cancer Prevention and Research Institute Fund Account - 5136  
As of November 30, 2016**

|                                       | <b>11/01/2016 thru<br/>11/30/2016</b> | <b>AY 17 Year to<br/>Date as of<br/>11/30/2016</b> |
|---------------------------------------|---------------------------------------|--|
| <b>Beginning Balance : 11/01/2016</b> |                                       | <b>\$ 600,506</b>                                  |
| <b>Increases:</b>                     |                                       |  |
| (1)                                   | \$ -                                  | \$ -   |
| (2)                                   | -                                     |  |
| <b>Total Increases</b>                | <b>\$ -</b>                           | <b>\$ 600,506.00</b>                               |
| <b>Reductions:</b>                    |                                       |  |
| Expenditures - Appropriated           | \$ -                                  | \$ -   |
|                                       | \$ -                                  | \$ -   |
|                                       | \$ -                                  | \$ -   |
| <b>Total Reductions</b>               | <b>\$ -</b>                           | <b>\$ -</b>  |
| <b>Ending Balance, 11/30/2016</b>     |                                       | <b>\$ 600,506.00</b>                               |

Note: (1) The Institute received a settlement from the Texas Cancer Coalition (TCC). This amount represents the final distribution and transfer of all funds (\$303,877) from the TCC which ceased operations in May 2013. These funds are in the State Treasury but are not appropriated to CPRIT. The beginning balance reflects the transfer of all TCC funds.

**Cancer Prevention and Research Institute of Texas  
License Plate Trust Fund Account - 0802  
As of November 30, 2016**

|                                       | 11/01/2016 thru<br>11/30/2016 | AY 17 Year to Date<br>as of 11/30/2016 |
|---------------------------------------|-------------------------------|--|
| <b>Beginning Balance : 11/01/2016</b> |                               | \$ -                                   |
| <b>Increases:</b>                     |                               |  |
| (1) License Plate Revenue Received    | \$ 617.82                     | \$ 2,465.78                            |
| <br>                                  |                               |  |
| <b>Total Increases</b>                | <b>\$ 617.82</b>              | <b>\$ 2,465.78</b>                     |
| <b>Reductions:</b>                    |                               |  |
| Expenditures - Appropriated           | \$ -                          | \$ -                                   |
|                                       | -                             | -                                      |
|                                       | -                             | -                                      |
| <br>                                  |                               |  |
| <b>Total Reductions</b>               | <b>\$ -</b>                   | <b>\$ -</b>                            |
| <br>                                  |                               |  |
| <b>Ending Balance, 11/30/2016</b>     |                               | <b>\$ 2,465.78</b>                     |

Note:

**Cancer Prevention and Research Institute of Texas**

**Appropriated Receipts - 666**

**As of November 30, 2016**

|   | <u>11/01/2016 thru</u><br><u>11/30/2016</u> | <u>AY 17 Year to Date as of</u><br><u>11/30/2016</u> |
|---|---|--|
| <b>Beginning Balance : 11/01/2016</b>             |   | <b>\$ 96,416.49</b>                                  |
| <b>Increases:</b>                                 |   |  |
| (1) Product Development Application Fees Received | \$ -  | \$ -   |
| (2) Appropriated Receipts applied to payments     | \$ -  | \$ -   |
| (3) Conference Registration Fees                  | \$ -  | \$ -   |
| (4) Conference Registration Fees-Credit Card      | \$ -  | \$ -   |
| <b>Total Increases</b>                            | <b>\$ -</b>                                 | <b>\$ -</b>  |
| <b>Reductions:</b>                                |   |  |
| Conference Expenditures - Appropriated            | \$ -  | \$ -   |
| Credit Card Fees Expended                         | \$ -  | \$ -   |
| <b>Total Reductions</b>                           | <b>\$ -</b>                                 | <b>\$ -</b>  |
| <b>Ending Balance, 11/30/2016</b>                 |   | <b>\$ 96,416.49</b>                                  |

Begin balance is \$76,000 for application fees and \$20,416.49 for conference fees

**Cancer Prevention and Research Institute of Texas**  
**General Revenue Fund Account - 0001**  
**As of November 30, 2016**

|                                       | 11/01/2016 thru<br>11/30/2016 | AY 17 Year to Date as of<br>11/30/2016 |
|---------------------------------------|-------------------------------|--|
| <b>Beginning Balance : 11/01/2016</b> |                               | \$ -                                   |
| <b>Increases:</b>                     |                               |  |
| (1) Revenue Sharing / Royalties       | \$ 11,861.81                  | \$ 15,861.81                           |
| <b>Total Increases</b>                | <b>\$ 11,861.81</b>           | <b>\$ 15,861.81</b>                    |
| <b>Reductions:</b>                    |                               |  |
| Expenditures - Appropriated           | \$ -                          | \$ -                                   |
| Sweep Account                         | \$ (11,861.81)                | \$ (15,861.81)                         |
|                                       | \$ -                          | \$ -                                   |
| <b>Total Reductions</b>               | <b>\$ (11,861.81)</b>         | <b>\$ (15,861.81)</b>                  |
| <b>Ending Balance, 11/30/2016</b>     |                               | <b>\$ -</b>                            |

Note: